







# Corporate & Strategic Risk Register 2014-15 - Quarter 1




**Report Type:** Risks Report  
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Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
14-CR 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	3	1	3	1	3		4	1	The draft outturn for 2013/14 and the budget position for 2014/15 enable the risk impact to remain at 3	A Corporate Team was established in 2010. Savings achieved to date have been approx £1 million per annum	Adrian Webb
14-CR 02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on Council's finances	2	3	2	3	6		2	3	The Council's finances are sound and there is reasonable certainty for the next three financial years. Thereafter there is a lot of uncertainty which is modelled into the MTFS	Work with local government partners to share the risks and benefits of reform	Stephen Joyce; Adrian Webb





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14-CR 03 Key partners unable to support LSP	Key partners are unable to support the LSP because of altered priorities elsewhere in the public sector. The concept of 'Localism' may be difficult to communicate	2	2	2	2	4		2	2	Ensuring that the LSP is relevant to the day-to-day work of the Council is an emerging issue which will continue to need attention during the year.	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Continue to review the working of the LSP to ensure it meets the needs of the council, its partners and the community.	John Mitchell

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14-CR 04 Local Plan	Failure to meet objectively assessed housing need and identify sites acceptable to the community	3	2	3	2	6		4	2	The Local Plan has been submitted for examination. The Examination is due to start in November 2014	Adherence to the Local Plan timetable coupled with consistent communication and community engagement. Strong political leadership to ensure adoption of Local Development Framework. Commitment to build local authority housing	Roger Harborough
14-CR 05 External contracts	Contracts with third parties do not benefit the Council & Community financially	3	3	3	3	9		3	1	Market changes mean some contracts may require alteration	Constant monitoring of contracts to ensure adequate contingency arrangements are in place	Roger Harborough
14-CR 06 Potential increase in environmental crime	Potential for more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints	2	2	2	2	4		2	2	Regular patrols carried out with PCSOs. No significant changes to the number of reports received.	Training for Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued	Michael Perry

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14-CR 07 Failure to embed sound Equality & Diversity, H&S & Corporate Governance principles	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	1	3	1	3		3	1	Informal peer review has given valuable pointers to "achieve" the equality standard. High priority continues to be given to health and safety. Corporate governance regularly monitored by P&A committee	Necessary information available to all staff and regular training given	John Mitchell
14-CR 08 Little money available for Highways improvements	Little money available for Highways improvements due to pressures on County Council budget	2	3	2	3	6		2	3	2014/15 budget allocated	Targeted improvements in districts due to local member involvement in Highways Panel/Locality Board	Roger Harborough
14-CR 09 Inability to implement the economic strategy	Inability to implement the economic strategy which could lead to a failure to support existing businesses and attract new investment	3	1	3	1	3		3	1	The Strategy has been reviewed and updated. Additional staff resources committed.	Implement the economic strategy in conjunction with local business representatives, West Essex partners and allocate budget to support this work	Roger Harborough

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14-CR 10 Adverse impact from reform of council tax benefits	The reform of council tax benefits will adversely impact some people currently in receipt of benefits	3	2	3	2	6		2	2	The LCTS scheme has been amended for 2014/15 and requires non-vulnerable working age people on low incomes to pay more council tax. The Council has increased its funding for exceptional hardship support.	Resource and implement the Council's Local Council Tax Support Policy approved 2012	Stephen Joyce; Adrian Webb
14-SR 01 Disruption of Council business	Disruption of council business caused by: loss of building, widespread staff absence, extreme weather conditions	4	2	4	2	8		3	2	Emergency response plans in place. Emergency BC plans and activation plans in place corporate. Individual dept plans to be tested over next 6 months starting with Finance in August 2014	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents. Ensure relevant HR policies are in place and understood	Michael Perry

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14-SR 02 Major emergency at the airport	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	2	2	3	6		2	1	Due to unrest globally, the likelihood has increased. Robust plans are in place, good relations remain between Stansted Airport Ltd and UDC	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Michael Perry

Risk Status	
	Alert
	High Risk
	Warning
	OK